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AMENDMENTS TO THE CLAIMS

Please cancel claims 1-78 without prejudice and add new claims 79-111. The following listing of claims replaces all prior versions, and listings, of claims in the application.

In the claims

1-78. (Canceled)

79. (New) A computer-implemented method for facilitating comprehensive and integrated management and administration of changes to a company's capitalization structure, the method comprising:

initiating a capitalization-affecting activity by at least one of a company security holder, a company administrator, a company employee, and a company agent;

classifying the capitalization-affecting activity as a security type and transaction in accordance with the company's capitalization structure maintained in a database and governmental regulations;

requesting authorization from the company or the company's authorized agent when the authorization is required to complete the classified capitalization-affecting activity; and

executing the classified capitalization-affecting activity.

80. (New) The computer-implemented method of claim 79, wherein the capitalization-affecting activity is at least one of exercise, transfer, purchase, sale, authorization, recapitalization, split, termination, tolling, cancellation, and conversion of a company security.

81. (New) The computer-implemented method of claim 79, wherein the capitalization-affecting activity is a hypothetical "what-if" capitalization-affecting activity.

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82. (New) The computer-implemented method of claim 79, further comprising:

generating a report representative of the capitalization structure, company balance sheet, or company tax return.

83. (New) The computer-implemented method of claim 82, wherein generating a report includes generating a report representative of a present, revised, or hypothetical capitalization structure, company balance sheet, or company tax return.

84. (New) The computer-implemented method of claim 79, wherein the classifying includes classifying the capitalization-affecting activity as a security type and transaction in accordance with the company employee initiating the capitalization-affecting activity.

85. (New) A computer-implemented method for managing a capitalization structure of a company, the method comprising:

accepting a request from a user that affects the capitalization structure, from an input device in communication with an computerized administration system;

classifying the request as to type of security and type of transaction;

determining compliance with regulatory requirements and the capitalization structure requirements with respect to the request;

requesting company approval of the request when approval is required in accordance with the request; and

completing the request in accordance with the classifying, determining, and requesting steps.

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86. (New) The computer-implemented method of claim 85, wherein accepting a request is selected from a group including at least one of exercise, transfer, purchase, sale, authorization, recapitalization, split, termination, tolling, cancellation, and conversion of a company security.

87. (New) The computer-implemented method of claim 85, wherein accepting a request includes the user interfacing with the computerized administration system by at least one of the Internet or an area network.

88. (New) The computer-implemented method of claim 85, further comprising interfacing with a transfer agent or equity plan administrator, wherein the interfacing is not performed if the step of determining compliance or requesting company approval is not satisfied.

89. (New) The computer-implemented method of claim 85, wherein the user is at least one of a company agent, a company administrator, a company board, a company security holder, and a company employee.

90. (New) The computer-implemented method of claim 85, wherein the user is a company employee and wherein at least one of the steps of classifying, determining, requesting, and completing is performed in accordance with restrictions associated with the user being a particular company employee.

91. (New) The computer-implemented method of claim 85, comprising generating a report including changes to the capitalization structure, company balance sheets, and company tax return.

92. (New) The computer-implemented method of claim 85, the computerized administration system includes data relating to the capitalization structure, company's corporate governance information, and company taxation information.

93. (New) The computer-implemented method of claim 85, wherein the request by the user comprises a transaction involving a security.

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94. (New) The computer-implemented method of claim 85, comprising updating data related to the capitalization structure in the computerized administration system with respect to the request.

95. (New) A computerized system for integrated handling of a security transaction, the system comprising:

a database comprising data relating to a capitalization structure of a company;

a database administration software in communication with the database; and

a device in communication with the database administration software, wherein the device is configured to transmit a security transaction request from a user directly authorized by the company, wherein the security transaction request relates to the company's securities,

wherein the database administration software is configured to classify the security transaction request in accordance with the capitalization structure, legal requirements, and unique identification of the user, determine compliance with governmental regulations and legal requirements with respect to the classified security transaction request, and determine final disposition of the classified security transaction request.

96. (New) The system of claim 95, wherein the database administration software is further configured to determine update to the company's tax liability with respect to the classified security transaction request, and determine update to the database of the capitalization structure.

97. (New) The system of claim 95, wherein the device comprises at least one of the Internet, a network, and specialists and managers.

98. (New) The system of claim 95, wherein the database administration software is further configured to generate a report relating to the classified security transaction request.

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99. (New) The system of claim 95, wherein the user is selected from a group including a company's security holder, a company agent, a company board member, a company administrator, and a company employee.

100. (New) The system of claim 95, wherein the user is limited in the types of permitted security transaction requests based on the user's position with the company and the user's authorized transactions.

101. (New) The system of claim 95, further comprising a customized user interface in communication with the database administration software.

102. (New) The system of claim 101, wherein the customized user interface is configured to include a payments screen to pay and track payment particulars relating to the security transaction request.

103. (New) The system of claim 95, wherein the security transaction request comprises a hypothetical "what if" security transaction request.

104. (New) The system of claim 95, wherein the company's securities include at least one of stock, restricted stock, stock purchase rights, options, warrants, convertible promissory notes, and other equity-based financial instruments.

105. (New) A computerized method, the method comprising:

processing a security transaction request of a company's security in compliance with the company's capitalization structure and governmental regulations, wherein data of the company's capitalization structure and governmental regulations are included in a database;

updating the database including data relating to the company's capitalization structure, wherein the database is automatically updated by processing the security transaction request; and

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providing a final determination of fulfillment of the security transaction request, wherein the processing, updating, and providing steps are provided substantially in real-time in a computerized administration system.

106. (New) The computerized method of claim 105, wherein processing a security transaction request includes processing in compliance with the company's board approval requirement.

107. (New) The computerized method of claim 105, wherein processing a security transaction request includes processing in accordance with transaction restrictions for a person making the security transaction request.

108. (New) The computerized method of claim 105, comprising generating a report including changes to the company's capitalization structure.

109. (New) The computerized method of claim 105, wherein providing a final determination includes completing the security transaction request by authorizing a transfer agent to execute the security transaction request.

110. (New) A system for facilitating comprehensive and integrated management of a company's capitalization structure, comprising:

a database configured to include data relating to the company's capitalization structure, company's governance information, and legal requirements; and

a database administration software in communication with the database and configured to analyze impact to the company's capitalization structure from a company event.

111. (New) The system of claim 110, wherein the company event is selected from a group including a security transaction request, a compensation study, and tracking an event potentially affecting the company's capitalization structure.

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